

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

Governance Information (Authority-Related)

| Question | | Response | URL (If Applicable) |
|----------|---|----------|---------------------------------------|
| 1. | Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL? | Yes | www.rocklandrecycles.com |
| 2. | As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls? | Yes | www.rocklandrecycles.com |
| 3. | Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL? | No | N/A |
| 4. | Does the independent auditor provide non-audit services to the Authority? | Yes | N/A |
| 5. | Does the Authority have an organization chart? | Yes | www.rocklandrecycles.com |
| 6. | Are any Authority staff also employed by another government agency? | Yes | Town of Ramapo Local Development Corp |
| 7. | Has the Authority posted their mission statement to their website? | Yes | www.rocklandrecycles.com |
| 8. | Has the Authority's mission statement been revised and adopted during the reporting period? | No | N/A |
| 9. | Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL. | | www.rocklandrecycles.com |

Governance Information (Board-Related)

| Question | Response | URL (If Applicable) |
|---|----------|--------------------------|
| 1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL? | Yes | N/A |
| 2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL? | Yes | N/A |
| 3. Has the Board established a Finance Committee in accordance with Section 2824(8) of PAL? | Yes | N/A |
| 4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established): | | www.rocklandrecycles.com |
| 5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL? | Yes | N/A |
| 6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year | | www.rocklandrecycles.com |
| 7. Has the Board adopted bylaws and made them available to Board members and staff? | Yes | www.rocklandrecycles.com |
| 8. Has the Board adopted a code of ethics for Board members and staff? | Yes | www.rocklandrecycles.com |
| 9. Does the Board review and monitor the Authority's implementation of financial and management controls? | Yes | N/A |
| 10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL? | Yes | N/A |
| 11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL? | | |
| Salary and Compensation | Yes | N/A |
| Time and Attendance | Yes | N/A |
| Whistleblower Protection | No | N/A |
| Defense and Indemnification of Board Members | Yes | N/A |
| 12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL? | Yes | N/A |
| 13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL? | Yes | N/A |
| 14. Was a performance evaluation of the board completed? | Yes | N/A |
| 15. Was compensation paid by the Authority made in accordance with employee or union contracts? | Yes | N/A |
| 16. Has the board adopted a conditional/additional compensation policy governing all employees? | No | |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

Board of Directors Listing

| | | | |
|---|-----------------------|--|-------|
| Name | Altieri, Vincent | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/1/2008 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | Pleasure of Authority | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

| | | | |
|---|--------------|--|-------|
| Name | Day, Edwin J | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/1/2010 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | No |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | |
|---|-----------------------|--|--------------|
| Name | Finn, Geoffrey | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/17/2012 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

| | | | |
|---|-----------------------|--|--------------|
| Name | Grant, Michael | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/1/2008 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | |
|---|----------------------|--|--------------|
| Name | Gromack, Alex | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/1/2010 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

| | | | |
|---|-----------------------------|--|--------------|
| Name | Jobson, Jr., Douglas | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/1/2008 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | |
|---|----------------|--|-------|
| Name | Lynn, Lawrence | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 3/6/2012 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 01/15/2014 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

| | | | |
|---|------------------|--|-------|
| Name | Moroney, Patrick | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 4/17/2012 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | No |
| Designee Name | | Ex-Officio | No |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | |
|---|--------------------|--|-------|
| Name | Oppenheim, Jeffrey | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 6/28/2012 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 01/15/2014 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

| | | | |
|---|------------------|--|-------|
| Name | Phillips, Howard | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/1/2010 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | |
|---|--------------------|--|-------|
| Name | Schoenberger, Ilan | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/1/2008 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

| | | | |
|---|----------------|--|-------|
| Name | Soskin, Philip | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/1/2008 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | |
|---|----------------|--|-------|
| Name | Sparaco, Frank | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/17/2012 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

| | | | |
|---|-----------------------------|--|-------|
| Name | St. Lawrence, Christopher P | Nominated By | Other |
| Chair of the Board | Yes | Appointed By | Other |
| If yes, Chair Designated by | Elected by Board | Confirmed by Senate? | N/A |
| Term Start Date | 1/1/2010 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | |
|---|-----------------|--|-------|
| Name | Stewart, Andrew | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/17/2012 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

| | | | |
|---|--------------|--|-------|
| Name | Wieder, Aron | Nominated By | Other |
| Chair of the Board | No | Appointed By | Other |
| If yes, Chair Designated by | | Confirmed by Senate? | N/A |
| Term Start Date | 1/17/2012 | Has the Board Member/Designee Signed the Acknowledgement of Fiduciary Duty? | Yes |
| Term Expiration Date | 12/31/2013 | Complied with Training Requirement of Section 2824? | Yes |
| Title | | Does the Board Member/Designee also Hold an Elected or Appointed State Government Position? | No |
| Has the Board Member Appointed a Designee? | | Does the Board Member/Designee also Hold an Elected or Appointed Municipal Government Position? | Yes |
| Designee Name | | Ex-Officio | No |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
Status: CERTIFIED
Certified Date: 03/26/2013

Staff Listing

| Name | Title | Group | Department/ Subsidiary | Union Name | Bargainin g Unit | Full Time/ Part Time | Exempt | Base Annualized Salary | Actual salary paid to the individual | Overtime paid by Authority | Performance Bonus | Extra Pay | Other Compensation/ Allowances/ Adjustments | Total Compensation | Individual also paid by another entity to perform the work of the Authority | If yes, Is the payment made by State or local government |
|-----------------------|--|-----------------------------|---------------------------|---------------|---------------------|-------------------------|--------|------------------------------|---|----------------------------------|----------------------|-----------|--|-----------------------|--|--|
| Anderson, Erica | Weigher II | Operational | Scale house | | | FT | No | \$55,119.00 | \$53,620.00 | \$7,812.00 | \$0.00 | \$0.00 | \$1,500.00 | \$62,932.00 | No | |
| Benado, Helene | Receptionist | Administrative and Clerical | Administration | | | FT | No | \$59,837.00 | \$59,688.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$61,188.00 | No | |
| Blake, Michael | Laborer | Operational | | | | FT | No | \$37,485.00 | \$35,985.00 | \$5,822.00 | \$0.00 | \$0.00 | \$1,500.00 | \$43,307.00 | No | |
| Braunfotel, Keith | Attorney | Professional | Attorney | | | PT | Yes | \$45,076.00 | \$45,042.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$46,542.00 | No | |
| Damiani, Gerard | Assistant Solid Waste Operations Manager | Operational | | | | FT | No | \$86,449.00 | \$83,409.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$84,909.00 | No | |
| Delia, Michael | Asst. Auto Mechanic | Operational | Transfer Station | | | FT | No | \$69,604.00 | \$68,117.00 | \$6,659.00 | \$0.00 | \$0.00 | \$1,500.00 | \$76,276.00 | No | |
| Desir, Deandre | Student | Administrative and Clerical | Finance | | | PT | No | \$0.00 | \$6,336.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$6,336.00 | No | |
| Difrancesca, Joseph X | Recycling Coordinator | Administrative and Clerical | Administration | | | FT | No | \$67,898.00 | \$67,515.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$69,015.00 | No | |
| Downey, John | Weigher II (w/CDL) | Operational | Scale house | | | FT | No | \$63,791.00 | \$62,293.00 | \$280,145.00 | \$0.00 | \$0.00 | \$1,500.00 | \$343,938.00 | No | |
| Fortier, Teresa | Weigher II | Operational | Transfer Station | | | FT | No | \$58,321.00 | \$56,823.00 | \$24,431.00 | \$0.00 | \$0.00 | \$1,500.00 | \$82,754.00 | No | |
| Gallagher, James | Groundworker | Operational | Transfer Station | | | PT | No | \$11,103.00 | \$11,089.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$11,089.00 | No | |
| Gelok, Noreen | Control Clerk | Administrative and Clerical | Administration | | | FT | Yes | \$42,215.00 | \$42,239.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$43,739.00 | No | |
| Gobioff, Bracha | Junior Admin Assistant | Administrative and Clerical | Finance | | | PT | No | \$38,858.00 | \$39,129.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$40,629.00 | No | |
| Goldman, Eden | Sr. Account Clerk Typist | Administrative and Clerical | Finance | | | FT | No | \$62,551.00 | \$63,553.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$65,053.00 | No | |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019

Status: CERTIFIED

Certified Date: 03/26/2013

Staff Listing

| Name | Title | Group | Department/ Subsidiary | Union Name | Bargainin g Unit | Full Time/ Part Time | Exempt | Base Annualized Salary | Actual salary paid to the individual | Overtime paid by Authority | Performance Bonus | Extra Pay | Other Compensation/ Allowances/ Adjustments | Total Compensation | Individual also paid by another entity to perform the work of the Authority | If yes, Is the payment made by State or local government |
|---------------------|--------------------------------|-----------------------------|---------------------------|---------------|---------------------|-------------------------|--------|------------------------------|---|----------------------------------|----------------------|-----------|--|-----------------------|--|--|
| Haggerty, Suzanne | Confidential Secretary | Administrative and Clerical | Administration | | | FT | No | \$75,857.00 | \$75,677.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$77,177.00 | No | |
| Heath, Daniel | Laborer | Operational | | | | FT | No | \$34,985.00 | \$24,158.00 | \$1,928.00 | \$0.00 | \$0.00 | \$1,125.00 | \$27,211.00 | No | |
| Karger, Arlene | Director of Finance | Administrative and Clerical | Finance | | | FT | Yes | \$85,680.00 | \$82,855.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$84,355.00 | No | |
| Klos, John | Solid Waste Operations Manager | Managerial | Administration | | | FT | Yes | \$130,534.00 | \$124,227.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$125,727.00 | No | |
| Kohut, Alison P | Student Worker | Administrative and Clerical | | | | PT | No | \$0.00 | \$1,815.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$1,815.00 | No | |
| Lee (Burnet), Janet | Senior Program Assistant | Administrative and Clerical | Administration | | | FT | Yes | \$93,901.00 | \$93,845.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$95,345.00 | No | |
| McArdle, Gerard | Motor Equipment Operator III | Operational | Transfer Station | | | FT | No | \$66,830.00 | \$65,330.00 | \$15,366.00 | \$0.00 | \$0.00 | \$1,500.00 | \$82,196.00 | No | |
| Mullens, Thomas | Motor Equipment Operator III | Operational | Transfer Station | | | FT | No | \$63,647.00 | \$62,148.00 | \$16,886.00 | \$0.00 | \$0.00 | \$1,500.00 | \$80,534.00 | No | |
| O'Donnell, Denis | Solid Waste Inspector | Managerial | Administration | | | FT | Yes | \$123,868.00 | \$123,770.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$125,270.00 | No | |
| Rea, Kimberlea | Attorney | Professional | Attorney | | | PT | Yes | \$84,864.00 | \$84,219.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$85,719.00 | No | |
| Rene, Joselene | Laborer | Operational | Transfer Station | | | FT | No | \$47,831.00 | \$46,319.00 | \$8,102.00 | \$0.00 | \$0.00 | \$1,500.00 | \$55,921.00 | No | |
| Roppolo, Anna | Executive Director | Executive | | | | FT | Yes | \$135,967.00 | \$135,867.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$137,367.00 | No | |
| Samuels, Debra | Legal Stenographer | Administrative and Clerical | Attorney | | | PT | No | \$39,858.00 | \$39,828.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$41,328.00 | No | |
| Scales, Kerri | Solid Waste Educator | Administrative and Clerical | Administration | | | FT | Yes | \$91,704.00 | \$91,617.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$93,117.00 | No | |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

Staff Listing

| Name | Title | Group | Department/ Subsidiary | Union Name | Bargainin g Unit | Full Time/ Part Time | Exempt | Base Annualized Salary | Actual salary paid to the individual | Overtime paid by Authority | Performance Bonus | Extra Pay | Other Compensation/ Allowances/ Adjustments | Total Compensation | Individual also paid by another entity to perform the work of the Authority | If yes, Is the payment made by State or local government |
|------------------------|--------------------------------------|------------------------------------|---------------------------|---------------|---------------------|-------------------------|--------|------------------------------|---|----------------------------------|----------------------|-----------|--|-----------------------|--|--|
| Sheridan, Jennifer | Solid Waste Educator Assistant | Administra tive and Clerical | Administratio n | | | FT | No | \$43,207.00 | \$43,053.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$44,553.00 | No | |
| Simon, Stanley | Accountant II | Administra tive and Clerical | Finance | | | FT | Yes | \$103,707.00 | \$103,630.00 | \$0.00 | \$0.00 | \$0.00 | \$1,500.00 | \$105,130.00 | No | |
| Stevenson, Lawrence | Purchasing | Administra tive and Clerical | Administratio n | | | FT | No | \$73,859.00 | \$73,250.00 | \$14,909.00 | \$0.00 | \$0.00 | \$1,500.00 | \$89,659.00 | No | |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

Benefit Information

| | |
|---|----|
| During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for former staff or individuals affiliated with the Authority after those individuals left the Authority? | No |
|---|----|

Board Members

| Name | Title | Severance Package | Payment for Unused Leave | Club Memberships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of these Benefits | Other |
|----------------------|--------------------|-------------------|--------------------------|------------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
| Altieri, Vincent | Board of Directors | | | | | | | | | | | | X | |
| Day, Edwin J | Board of Directors | | | | | | | | | | | | X | |
| Finn, Geoffrey | Board of Directors | | | | | | | | | | | | X | |
| Grant, Michael | Board of Directors | | | | | | | | | | | | X | |
| Gromack, Alex | Board of Directors | | | | | | | | | | | | X | |
| Jobson, Jr., Douglas | Board of Directors | | | | | | | | | | | | X | |
| Lynn, Lawrence | Board of Directors | | | | | | | | | | | | X | |
| Moroney, Patrick | Board of Directors | | | | | | | | | | | | X | |
| Oppenheim, Jeffrey | Board of Directors | | | | | | | | | | | | X | |

Annual Report for Rockland County Solid Waste Management Authority

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

Fiscal Year Ending: 12/31/2012

| Name | Title | Severance Package | Payment for Unused Leave | Club Memberships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of these Benefits | Other |
|-----------------------------|--------------------|-------------------|--------------------------|------------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
| Phillips, Howard | Board of Directors | | | | | | | | | | | | X | |
| Schoenberger, Ilan | Board of Directors | | | | | | | | | | | | X | |
| Soskin, Philip | Board of Directors | | | | | | | | | | | | X | |
| Sparaco, Frank | Board of Directors | | | | | | | | | | | | X | |
| St. Lawrence, Christopher P | Board of Directors | | | | | | | | | | | | X | |
| Stewart, Andrew | Board of Directors | | | | | | | | | | | | X | |
| Wieder, Aron | Board of Directors | | | | | | | | | | | | X | |

Staff

| Name | Title | Severance | Payment for Unused Leave | Club Memberships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of these Benefits | Other |
|------------------|--------------------------------|-----------|--------------------------|------------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
| Klos, John | Solid Waste Operations Manager | | X | | | | | | | | | | | |
| O'Donnell, Denis | Solid Waste Inspector | | X | | | | | | | | | | | |

Annual Report for Rockland County Solid Waste Management Authority

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

Fiscal Year Ending: 12/31/2012

| Name | Title | Severance | Payment for Unused Leave | Club Memberships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of these Benefits | Other |
|----------------|--------------------|-----------|--------------------------|------------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
| Roppolo, Anna | Executive Director | | X | | | | | | | | | | | |
| Simon, Stanley | Accountant II | | X | | | | | | | | | | | |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

Subsidiary/Component Unit Verification

| | |
|--|-----|
| Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? | Yes |
| Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? | No |

| Name of Subsidiary/Component Unit | Status |
|-----------------------------------|--------|
|-----------------------------------|--------|

Request Subsidiary/Component Unit Change

| Name of Subsidiary/Component Unit | Status | Requested Changes |
|-----------------------------------|--------|-------------------|
|-----------------------------------|--------|-------------------|

Request Add Subsidiaries/Component Units

| Name of Subsidiary/Component Unit | Establishment Date | Purpose of Subsidiary/Component Unit |
|-----------------------------------|--------------------|--------------------------------------|
|-----------------------------------|--------------------|--------------------------------------|

Request Delete Subsidiaries/Component Units

| Name of Subsidiary/Component Unit | Termination Date | Reason for Termination | Proof of Termination Document Name |
|-----------------------------------|------------------|------------------------|------------------------------------|
|-----------------------------------|------------------|------------------------|------------------------------------|

Summary Financial Information
SUMMARY STATEMENT OF NET ASSETS

| | | | Amount |
|-------------------------------|---|--|------------------------|
| Assets | | | |
| Current Assets | | | |
| | Cash and cash equivalents | | \$17,809,526.00 |
| | Investments | | \$0.00 |
| | Receivables, net | | \$1,712,428.00 |
| | Other assets | | \$2,220,187.00 |
| | Total Current Assets | | \$21,742,141.00 |
| Noncurrent Assets | | | |
| | Restricted cash and investments | | \$16,557,785.00 |
| | Long-term receivables, net | | \$0.00 |
| | Other assets | | \$16,469,932.00 |
| | Capital Assets | | |
| | | Land and other nondepreciable property | \$11,076,593.00 |
| | | Buildings and equipment | \$66,091,271.00 |
| | | Infrastructure | \$0.00 |
| | | Accumulated depreciation | \$37,221,429.00 |
| | | Net Capital Assets | \$39,946,435.00 |
| | Total Noncurrent Assets | | \$72,974,152.00 |
| | Total Assets | | \$94,716,293.00 |
| Liabilities | | | |
| Current Liabilities | | | |
| | Accounts payable | | \$7,497,701.00 |
| | Pension contribution payable | | \$0.00 |
| | Other post-employment benefits | | \$0.00 |
| | Accrued liabilities | | \$884,468.00 |
| | Deferred revenues | | \$0.00 |
| | Bonds and notes payable | | \$3,460,000.00 |
| | Other long-term obligations due within one year | | \$344,911.00 |
| | Total Current Liabilities | | \$12,187,080.00 |
| Noncurrent Liabilities | | | |
| | Pension contribution payable | | \$0.00 |
| | Other post-employment benefits | | \$4,362,809.00 |
| | Bonds and notes payable | | \$55,373,845.00 |
| | Long Term Leases | | \$163,813.00 |
| | Other long-term obligations | | \$3,022,637.00 |
| | Total Noncurrent Liabilities | | \$62,923,104.00 |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | |
|----------------------------|---|--|------------------------|
| Total Liabilities | | | \$75,110,184.00 |
| Net Asset (Deficit) | | | |
| Net Assets | | | |
| | Invested in capital assets, net of related debt | | \$9,088,401.00 |
| | Restricted | | \$0.00 |
| | Unrestricted | | \$10,517,708.00 |
| | Total Net Assets | | \$19,606,109.00 |

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

| | | | Amount |
|--------------------------------|---|--|------------------------|
| Operating Revenues | | | |
| | Charges for services | | \$43,615,689.00 |
| | Rental & financing income | | \$0.00 |
| | Other operating revenues | | \$0.00 |
| | Total Operating Revenue | | \$43,615,689.00 |
| Operating Expenses | | | |
| | Salaries and wages | | \$2,267,031.00 |
| | Other employee benefits | | \$1,775,272.00 |
| | Professional services contracts | | \$33,785,151.00 |
| | Supplies and materials | | \$0.00 |
| | Depreciation & amortization | | \$3,680,692.00 |
| | Other operating expenses | | \$0.00 |
| | Total Operating Expenses | | \$41,508,146.00 |
| Operating Income (Loss) | | | \$2,107,543.00 |
| Nonoperating Revenues | | | |
| | Investment earnings | | \$188,660.00 |
| | State subsidies/grants | | \$1,632,017.00 |
| | Federal subsidies/grants | | \$287,825.00 |
| | Municipal subsidies/grants | | \$0.00 |
| | Public authority subsidies | | \$0.00 |
| | Other nonoperating revenues | | \$370,240.00 |
| | Total Nonoperating Revenue | | \$2,478,742.00 |
| Nonoperating Expenses | | | |
| | Interest and other financing charges | | \$3,008,663.00 |
| | Subsidies to other public authorities | | \$0.00 |
| | Grants and donations | | \$0.00 |
| | Other nonoperating expenses | | \$0.00 |
| | Total Nonoperating Expenses | | \$3,008,663.00 |
| | Income (Loss) Before Contributions | | \$1,577,622.00 |
| Capital Contributions | | | \$0.00 |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | | |
|---|--|--|--|-----------------|
| Change in net assets | | | | \$1,577,622.00 |
| Net assets (deficit) beginning of year | | | | \$18,028,487.00 |
| Other net assets changes | | | | \$0.00 |
| Net assets (deficit) at end of year | | | | \$19,606,109.00 |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
Status: CERTIFIED
Certified Date: 03/26/2013

Current Debt

| Question | | Response |
|----------|--|----------|
| 1. | Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period? | Yes |
| 2. | If yes, has the Authority issued any debt during the reporting period? | No |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

Schedule of Authority Debt

| Type of Debt | | | Statutory Authorization Amount (\$) | Begin Amount Total (\$) | New Debt Issuance (\$) | Amount Retired (\$) | End Amount Total (\$) |
|-------------------------------------|-------------------------------------|--|-------------------------------------|-------------------------|------------------------|---------------------|-----------------------|
| State Obligation | State Guaranteed | | | | | | |
| State Obligation | State Supported | | | | | | |
| State Obligation | State Contingent Obligation | | | | | | |
| State Obligation | State Moral Obligation | | | | | | |
| Other State-Funded | Other State-Funded | | | | | | |
| Authority Debt - General Obligation | Authority Debt - General Obligation | | 0.00 | 63,165,000.00 | 0.00 | 3,310,000.00 | 59,855,000.00 |
| Authority Debt - Revenue | Authority Debt - Revenue | | | | | | |
| Authority Debt - Other | Authority Debt - Other | | | | | | |
| Conduit | | Conduit Debt | | | | | |
| Conduit | | Conduit Debt - Pilot Increment Financing | | | | | |
| TOTALS | | | 0.00 | 63,165,000.00 | 0.00 | 3,310,000.00 | 59,855,000.00 |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
Status: CERTIFIED
Certified Date: 03/26/2013

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

Personal Property

| | | | |
|--------------------------------|--|------------------------|-------------------|
| 1. Property Description | 2001 Freightliner Classic FLD 132XL Semi Tractor | Last Name | Weslowski |
| Est Fair Market Value | \$5,800.00 | First Name | Charles |
| Fair Market Description | Competitive Bid | Address Line1 | 1 Pillmeier Place |
| Transaction type other | 1 | Address Line2 | 1 |
| Transaction Date | 4/13/2012 | City | FLORIDA |
| Purchase Sale Price | \$5,800.00 | State | NY |
| Market Rate | 1 | Postal Code | 10921 |
| Lease Rate | 1 | Plus4 | 1 |
| Lease Period | 1 | Province/Region | 1 |
| Purchaser Organization | 1 | Country | United States |

| | | | |
|--------------------------------|---------------------------------------|------------------------|----------------|
| 2. Property Description | 1996 Summit 45ft Waling Floor Trailer | Last Name | 1 |
| Est Fair Market Value | \$7,600.00 | First Name | 1 |
| Fair Market Description | Competitive Bid | Address Line1 | #23 Rt 211 |
| Transaction type other | 1 | Address Line2 | PO Box 310 |
| Transaction Date | 4/13/2012 | City | CUDDEBACKVILLE |
| Purchase Sale Price | \$7,600.00 | State | NY |
| Market Rate | 1 | Postal Code | 12729 |
| Lease Rate | 1 | Plus4 | 1 |
| Lease Period | 1 | Province/Region | 1 |
| Purchaser Organization | B.R.I.M. Recycler Inc | Country | United States |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | |
|--------------------------------|---|------------------------|----------------|
| 3. Property Description | 1997 Spectec 48ft Walking Floor Trailer | Last Name | 1 |
| Est Fair Market Value | \$7,600.00 | First Name | 1 |
| Fair Market Description | Competitive Bid | Address Line1 | #23 Rt 211 |
| Transaction type other | 1 | Address Line2 | PO Box 310 |
| Transaction Date | 4/13/2012 | City | CUDDEBACKVILLE |
| Purchase Sale Price | \$7,600.00 | State | NY |
| Market Rate | 1 | Postal Code | 12729 |
| Lease Rate | 1 | Plus4 | 1 |
| Lease Period | 1 | Province/Region | 1 |
| Purchaser Organization | B.R.I.M. Recyclers Inc | Country | United States |

| | | | |
|--------------------------------|--------------------------------------|------------------------|-----------------|
| 4. Property Description | 1988 East 45ft Walking Floor Trailer | Last Name | 1 |
| Est Fair Market Value | \$6,600.00 | First Name | 1 |
| Fair Market Description | Competitive Bid | Address Line1 | 1517 River Road |
| Transaction type other | 1 | Address Line2 | P.O. Box 376 |
| Transaction Date | 4/13/2012 | City | SELKIRK |
| Purchase Sale Price | \$6,600.00 | State | NY |
| Market Rate | 1 | Postal Code | 12158 |
| Lease Rate | 1 | Plus4 | 1 |
| Lease Period | 1 | Province/Region | 1 |
| Purchaser Organization | WPNT Construction, LLC | Country | United States |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | |
|--------------------------------|--|------------------------|-----------------------|
| 5. Property Description | 1999 Fabres 45ft Walking Floor Trailer | Last Name | Basso |
| Est Fair Market Value | \$8,237.00 | First Name | John |
| Fair Market Description | Competitive Bid | Address Line1 | 169 Commack Road #194 |
| Transaction type other | 1 | Address Line2 | 1 |
| Transaction Date | 4/13/2012 | City | COMMACK |
| Purchase Sale Price | \$8,237.00 | State | NY |
| Market Rate | 1 | Postal Code | 11725 |
| Lease Rate | 1 | Plus4 | 1 |
| Lease Period | 1 | Province/Region | 1 |
| Purchaser Organization | 1 | Country | United States |

| | | | |
|--------------------------------|-------------------------|------------------------|---------------------|
| 6. Property Description | Hustler Conveyor System | Last Name | 1 |
| Est Fair Market Value | \$10,300.00 | First Name | 1 |
| Fair Market Description | Competitive Bid | Address Line1 | 148 Blue Barns Road |
| Transaction type other | 1 | Address Line2 | 1 |
| Transaction Date | 4/13/2012 | City | REXFORD |
| Purchase Sale Price | \$10,300.00 | State | NY |
| Market Rate | 1 | Postal Code | 12148 |
| Lease Rate | 1 | Plus4 | 1 |
| Lease Period | 1 | Province/Region | 1 |
| Purchaser Organization | Tri-Den Inc. | Country | United States |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | |
|--------------------------------|----------------------------|------------------------|---------------|
| 7. Property Description | WHO Wood Waste Tub Grinder | Last Name | 1 |
| Est Fair Market Value | \$22,700.00 | First Name | 1 |
| Fair Market Description | Competitive Bid | Address Line1 | P.O. Box 11 |
| Transaction type other | 1 | Address Line2 | 1 |
| Transaction Date | 4/13/2012 | City | ADAMS |
| Purchase Sale Price | \$22,700.00 | State | NY |
| Market Rate | 1 | Postal Code | 13605 |
| Lease Rate | 1 | Plus4 | 1 |
| Lease Period | 1 | Province/Region | 1 |
| Purchaser Organization | Gary Berry | Country | United States |

| | | | |
|--------------------------------|-----------------------------------|------------------------|----------------------|
| 8. Property Description | 2005 Crushtek 204N Impact Crusher | Last Name | 1 |
| Est Fair Market Value | \$89,000.00 | First Name | 1 |
| Fair Market Description | Competitive Bid | Address Line1 | 1514 County Route 19 |
| Transaction type other | 1 | Address Line2 | 1 |
| Transaction Date | 4/13/2012 | City | BEAVER DAMS |
| Purchase Sale Price | \$89,000.00 | State | NY |
| Market Rate | 1 | Postal Code | 14812 |
| Lease Rate | 1 | Plus4 | 1 |
| Lease Period | 1 | Province/Region | 1 |
| Purchaser Organization | Swarthout Recycling, LLC | Country | United States |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

| | | | |
|--------------------------------|----------------------------|------------------------|------------------------|
| 9. Property Description | 1993 Diamond Z Tub Grinder | Last Name | 1 |
| Est Fair Market Value | \$34,300.00 | First Name | 1 |
| Fair Market Description | Competitive Bid | Address Line1 | 100 Long Island Avenue |
| Transaction type other | 1 | Address Line2 | 1 |
| Transaction Date | 4/13/2012 | City | YAPHANK |
| Purchase Sale Price | \$34,300.00 | State | NY |
| Market Rate | 1 | Postal Code | 11980 |
| Lease Rate | 1 | Plus4 | 1 |
| Lease Period | 1 | Province/Region | 1 |
| Purchaser Organization | Liere Farm | Country | United States |

| | | | |
|---------------------------------|--------------------|------------------------|------------------|
| 10. Property Description | Extec Screen Plant | Last Name | 1 |
| Est Fair Market Value | \$28,200.00 | First Name | 1 |
| Fair Market Description | Competitive Bid | Address Line1 | 465 Route 9N |
| Transaction type other | 1 | Address Line2 | 1 |
| Transaction Date | 4/13/2012 | City | SARATOGA SPRINGS |
| Purchase Sale Price | \$28,200.00 | State | NY |
| Market Rate | 1 | Postal Code | 12866 |
| Lease Rate | 1 | Plus4 | 1 |
| Lease Period | 1 | Province/Region | 1 |
| Purchaser Organization | M&J Construction | Country | United States |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
 Status: CERTIFIED
 Certified Date: 03/26/2013

Property Documents

| Question | | Response | URL (If Applicable) |
|----------|---|----------|--|
| 1. | In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared? | Yes | www.rocklandrecycles.com |
| 2. | Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property? | Yes | www.rocklandrecycles.com |
| 3. | In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines? | Yes | N/A |

Annual Report for Rockland County Solid Waste Management Authority

Fiscal Year Ending: 12/31/2012

Run Date: 04/18/2019
Status: CERTIFIED
Certified Date: 03/26/2013

Additional Comments