

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.rocklandrecycles.com
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.rocklandrecycles.com
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	No	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	www.rocklandrecycles.com
6. Are any Authority staff also employed by another government agency?	Yes	Ramapo Housing Authority
7. Has the Authority posted their mission statement to their website?	Yes	www.rocklandrecycles.com
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.rocklandrecycles.com

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824 (7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824 (4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824 (8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.rocklandrecycles.com
5. Does the majority of the Board meet the independence requirements of Section 2825 (2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.rocklandrecycles.com
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.rocklandrecycles.com
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.rocklandrecycles.com
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824 (1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824 (1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824 (5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825 (3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Jobson, Jr., Douglas	Name	St. Lawrence, Christopher P
Chair of the Board	No	Chair of the Board	Yes
If yes, Chair Designated by.		If yes, Chair Designated by.	Elected by Board
Term Start Date	01/01/2014	Term Start Date	01/01/2014
Term Expiration Date	01/15/2016	Term Expiration Date	01/28/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Moroney, Patrick	Name	Soskin, Philip
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	04/17/2014	Term Start Date	01/02/2012
Term Expiration Date	01/28/2016	Term Expiration Date	01/28/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Schoenberger, Ilan	Name	Oppenheim, Jeffrey
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/02/2012	Term Start Date	06/26/2014
Term Expiration Date	01/28/2016	Term Expiration Date	12/31/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Paul, Aney	Name	Wieder, Aron
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2014	Term Start Date	01/17/2012
Term Expiration Date	01/28/2016	Term Expiration Date	01/15/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Sherwood, William	Name	Phillips, Howard
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2014	Term Start Date	01/01/2014
Term Expiration Date	Pleasure of Authority	Term Expiration Date	01/28/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Grant, Michael	Name	Lynn, Lawrence
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/15/2014	Term Start Date	03/06/2014
Term Expiration Date	01/15/2016	Term Expiration Date	01/15/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Finn, Geoffrey	Name	Stewart, Andrew
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/17/2014	Term Start Date	01/01/2014
Term Expiration Date	12/31/2015	Term Expiration Date	01/28/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

<u>Board of Directors Listing</u>			
Name	Murphy, John	Name	Gromack, Alex
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	06/02/2015	Term Start Date	01/01/2014
Term Expiration Date	12/31/2015	Term Expiration Date	12/31/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Altieri, Vincent
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	01/01/2008
Term Expiration Date	Pleasure of Authority
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Other
Appointed By	Other
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Anderson, Erica	Weigher II	Operational	Scale house			FT	No	58,779.00	58,779	5,977.67	0	1,500	0	66,256.67	No	
Benado, Helene	Receptionist	Administrative and Clerical	Administration			FT	No	63,811.00	64,104.5	0	0	1,500	0	65,604.5	No	
Blake, Michael	Laborer	Operational	Scalehouse			FT	No	45,357.00	45,357	7,084.04	0	1,500	0	53,941.04	No	
Braunfotel, Keith	Attorney	Professional	Attorney			PT	Yes	67,809.00	67,809.06	0	0	1,500	0	69,309.06	No	
Damiani, Gerard	Assistant Solid Waste Operations Manager	Operational				FT	Yes	115,615.00	115,614.61	0	0	1,500	0	117,114.61	No	
Delia, Michael	Asst. Auto Mechanic	Operational	Transfer Station			FT	No	77,938.00	77,937.6	5,003.8	0	1,500	0	84,441.4	No	
Difrancesca, Joseph X	Recycling Coordinator	Administrative and Clerical	Administration			FT	No	83,820.00	83,820	1,999.63	0	1,500	0	87,319.63	No	
Dodrill, Nicole E	Student Worker	Administrative and Clerical	Finance			PT	No	0.00	1,860	0	0	0	0	1,860	No	
Downey, John	Weigher II (w/CDL)	Operational	Scale house			FT	No	71,427.00	71,427.2	20,701	0	1,500	0	93,628.2	No	
Ferriello, Thomas A	Student Worker	Administrative and Clerical	Finance			PT	No	0.00	2,230	0	0	0	0	2,230	No	
Fortier, Teresa	Weigher II	Operational	Transfer Station			FT	No	65,312.00	65,312	18,524	0	1,500	0	85,336	No	
Gallagher, James	Groundswor ker	Operational	Transfer Station			PT	No	11,380.00	11,184.78	0	0	0	0	11,184.78	No	
Gelok, Noreen	Control Clerk	Administrative and Clerical	Administration			FT	Yes	52,292.00	51,969.8	110.28	0	1,500	0	53,580.08	No	
Gobioff, Bracha	Junior Admin. Assistant	Administrative and Clerical	Finance			PT	No	46,862.00	46,800.56	313	0	1,500	0	48,613.56	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Barga-ning Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Goldman, Eden	Sr. Account Clerk Typist	Administrative and Clerical	Finance			FT	No	69,756.00	69,711.04	144.27	0	1,500	0	71,355.31	No	
Haggerty, Suzanne	Confidential Secretary	Administrative and Clerical	Administration			FT	No	83,110.00	83,110.27	320.16	0	1,500	0	84,930.43	No	
Heath, Daniel T	Laborer	Operational	Scalehouse			FT	No	42,675.00	42,266.2	3,261.31	0	1,500	0	47,027.51	No	
Karger, Arlene	Director of Finance	Administrative and Clerical	Finance			FT	Yes	110,764.00	110,156.46	0	0	1,500	0	111,656.46	No	
Lee (Burnet), Janet	Senior Program Assistant	Administrative and Clerical	Administration			FT	Yes	102,199.00	102,199.76	0	0	1,500	0	103,699.76	No	
Louis, Deandre	Student Worker	Administrative and Clerical	Finance			PT	No	0.00	24,342.57	0	0	0	0	24,342.57	No	
McArdle, Gerard J	Motor Equipment Operator III	Operational	Transfer Station			FT	No	74,838.00	74,838.4	8,752.47	0	1,500	0	85,090.87	No	
Mullens, Thomas	Motor Equipment Operator III	Operational	Transfer Station			FT	No	71,267.00	71,260.8	16,447.42	0	1,500	0	89,208.22	No	
O'Donnell, Denis	Solid Waste Inspector	Managerial	Administration			FT	Yes	144,913.00	144,912.77	0	0	1,500	0	146,412.77	No	
Rene, Joselene	Laborer	Operational	Transfer Station			FT	No	52,283.00	52,283	5,087.49	0	1,500	0	58,870.49	No	
Roppolo, Anna	Executive Director	Executive				FT	Yes	165,380.00	165,380.32	0	0	1,500	0	166,880.32	No	
Samuels, Debra	Legal Stenographer	Administrative and Clerical	Attorney			PT	No	56,350.00	56,348.88	508.63	0	1,500	0	58,357.51	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Scales, Kerri	Solid Waste Educator	Administrative and Clerical	Administration			FT	Yes	102,560.00	102,555.7	600.44	0	1,500	0	104,656.14	No	
Sheridan, Jennifer	Solid Waste Educator Assistant	Administrative and Clerical	Administration			FT	No	52,905.00	52,904.22	947.04	0	1,500	0	55,351.26	No	
Simon, Stanley	Accountant II	Administrative and Clerical	Finance			FT	Yes	111,898.00	111,333.66	0	0	1,500	0	112,833.66	No	
Stevenson, Lawrence	Purchasing	Administrative and Clerical	Administration			FT	No	86,837.00	86,755.64	8,204.63	0	1,500	0	96,460.27	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Murphy, John	Board of Directors												X	
Sherwood, William	Board of Directors												X	
Paul, Aney	Board of Directors												X	
Wieder, Aron	Board of Directors												X	
Finn, Geoffrey	Board of Directors												X	
St. Lawrence, Christopher P	Board of Directors												X	
Phillips, Howard	Board of Directors												X	
Moroney, Patrick	Board of Directors												X	
Altieri, Vincent	Board of Directors												X	
Soskin, Philip	Board of Directors												X	
Schoenberg, Ilan	Board of Directors												X	
Stewart, Andrew	Board of Directors												X	
Lynn, Lawrence	Board of Directors												X	
Oppenheim, Jeffrey	Board of Directors												X	
Grant, Michael	Board of Directors												X	
Gromack, Alex	Board of Directors												X	
Jobson, Jr.,	Board of Directors												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Douglas														

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Damiani, Gerard	Assistant Solid Waste Operations Manager												X	
Karger, Arlene	Director of Finance												X	
Lee (Burnet), Janet	Senior Program Assistant												X	
O'Donnell, Denis	Solid Waste Inspector												X	
Roppolo, Anna	Executive Director												X	
Scales, Kerri	Solid Waste Educator												X	
Simon, Stanley	Accountant II												X	

Annual Report for Rockland County Solid Waste Management Authority
Fiscal Year Ending:12/31/2015

Run Date: 04/25/2016
Status: CERTIFIED

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this? No

Name of Subsidiary/Component Unit	Status	Requested Changes
-----------------------------------	--------	-------------------

Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
-----------------------------------	--------------------	----------------

Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
-----------------------------------	------------------	--------------------	----------------------

No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Assets

Current Assets

Cash and cash equivalents	\$24,486,271
Investments	\$0
Receivables, net	\$1,483,947
Other assets	\$839,497
Total Current Assets	\$26,809,715

Noncurrent Assets

Restricted cash and investments	\$11,386,411
Long-term receivables, net	\$0
Other assets	\$16,033,048

Capital Assets

Land and other nondepreciable property	\$7,132,015
Buildings and equipment	\$73,273,303
Infrastructure	\$2,420,054
Accumulated depreciation	\$46,153,168
Net Capital Assets	\$36,672,204

Total Noncurrent Assets \$64,091,663

Total Assets \$90,901,378

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$5,320,110
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$402,649
Deferred revenues	\$0
Bonds and notes payable	\$4,242,980
Other long-term obligations due within one year	\$115,879
Total Current Liabilities	\$10,081,618

Noncurrent Liabilities

Pension contribution payable	\$235,590
Other post-employment benefits	\$4,100,438
Bonds and notes payable	\$46,931,306
Long Term Leases	\$0
Other long-term obligations	\$611,819
Total Noncurrent Liabilities	\$51,879,153

Total Liabilities

\$61,960,771

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$9,379,719
Restricted	\$0
Unrestricted	\$19,560,888
Total Net Assets	\$28,940,607

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$50,987,057
Rental & financing income	\$0
Other operating revenues	\$0
Total Operating Revenue	\$50,987,057

Operating Expenses

Salaries and wages	\$2,329,172
Other employee benefits	\$1,447,675
Professional services contracts	\$32,155,284
Supplies and materials	\$494,412
Depreciation & amortization	\$3,679,061
Other operating expenses	\$5,850,066
Total Operating Expenses	\$45,955,670

Operating Income (Loss)

\$5,031,387

Nonoperating Revenues

Investment earnings	\$143,493
State subsidies/grants	\$448,787
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$1,377,747
Total Nonoperating Revenue	\$1,970,027

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$2,476,635
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
Total Nonoperating Expenses	\$2,476,635
Income (Loss) Before Contributions	\$4,524,779
Capital Contributions	\$0
Change in net assets	\$4,524,779
Net assets (deficit) beginning of year	\$24,316,382
Other net assets changes	\$99,446
Net assets (deficit) at end of year	\$28,940,607

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation	0.00	55,755,434.00	0.00	3,985,434.00	51,770,000.00
Revenue					
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

1. Property Description: Caterpillar Excavator

Estimated Fair Market Value: \$8,100.00

How was Fair Market Value

Determined?: Other

Transaction Date: 09/02/2015

Sale Price: \$8,100.00

Organization: AuctionsInternational

Last Name:

First Name:

Purchaser Address

Address Line1: 11167 Big tree Road

Address Line2:

City: EAST AURORA

State: NY

Postal Code: 14052

Plus4:

Province/Region:

Country: USA

2. Property Description: Spec Tec Walking Floor Trailer

Estimated Fair Market Value: \$6,150.00

How was Fair Market Value

Determined?: Other

Transaction Date: 09/02/2015

Sale Price: \$6,150.00

Organization: Auctions International

Last Name:

First Name:

Purchaser Address

Address Line1: 11167 Big Tree Road

Address Line2:

City: EAST AURORA

State: NY

Postal Code: 14052

Plus4:

Province/Region:

Country: USA

Personal Property

3. Property Description: Kenworth tractor

Estimated Fair Market Value: \$12,500.00

How was Fair Market Value

Determined?: Other

Transaction Date: 09/02/2015

Sale Price: \$12,500.00

Organization: Auctions International

Last Name:

First Name:

Purchaser Address

Address Line1: 11167 Big tree Road

Address Line2:

City: EAST AURORA

State: NY

Postal Code: 14052

Plus4:

Province/Region:

Country: USA

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	www.rocklandrecycles.com
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.rocklandrecycles.com
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments:



Investment Information:

Question	Response	URL (if applicable)
1. Has the Authority prepared an Annual Investment Report for the reporting period as required by Section 2925(6) of PAL?	Yes	www.rocklandrecycles.com
2. Are the Authority's investment guidelines reviewed and approved annually?	No	
3. Did the Authority have an independent audit of investments as required by Section 2925(3)(f) of PAL?	Yes	www.rocklandrecycles.com
4. Has the Authority's independent auditor issued a management letter to the Authority in connection with its annual audit of investments?	Yes	www.rocklandrecycles.com

Additional Comments:

Budget Report for Rockland County Solid Waste Management Authority

Run Date: 05/03/2016

Fiscal Year Ending 12/31/2016

Status: CERTIFIED

Budget & Financial Plan:	Budgeted Revenues, Expenditures, And Changes In Current Net Assets					
	Last Year (Actual) 2014	Current Year (Estimated) 2015	Next Year (Adopted) 2016	Proposed 2017	Proposed 2018	Proposed 2019
<u>REVENUE & FINANCIAL SOURCES</u>						
Operating Revenues						
Charges for services	\$47,964,705	\$49,496,517	\$51,032,968	\$52,633,707	\$54,291,617	\$55,997,968
Rentals & Financing Income	\$0	\$0	\$0	\$0	\$0	\$0
Other Operating Revenues	\$0	\$0	\$0	\$0	\$0	\$0
Nonoperating Revenues						
Investment earnings	\$154,738	\$141,500	\$135,000	\$139,050	\$143,222	\$147,518
State subsidies / grants	\$435,871	\$425,000	\$450,000	\$463,500	\$477,405	\$491,727
Federal subsidies / grants	\$0	\$0	\$0	\$0	\$0	\$0
Municipal subsidies / grants	\$0	\$0	\$0	\$0	\$0	\$0
Public authority subsidies	\$0	\$0	\$0	\$0	\$0	\$0
Other Non-Operating Revenues	\$1,164,799	\$125,500	\$75,775	\$78,048	\$80,390	\$82,801
Proceeds from the issuance of debt	\$0	\$0	\$0	\$0	\$0	\$0
Total Revenues & Financing Sources	\$49,720,113	\$50,188,517	\$51,693,743	\$53,314,305	\$54,992,634	\$56,720,014
<u>EXPENDITURES</u>						
Operating Expenditures						
Salaries and Wages	\$2,233,702	\$2,241,300	\$2,412,342	\$2,484,712	\$2,559,254	\$2,636,031
Other Employee Benefits	\$1,586,848	\$1,143,550	\$1,178,233	\$1,213,580	\$1,249,987	\$1,287,487
Professional Services Contracts	\$29,698,740	\$32,756,561	\$35,766,407	\$36,839,399	\$37,944,581	\$39,082,919
Supplies and Materials	\$461,115	\$488,000	\$544,500	\$560,835	\$577,660	\$594,990
Other Operating Expenditures	\$6,491,392	\$4,523,700	\$5,289,837	\$5,448,532	\$5,611,988	\$5,780,348
Nonoperating Expenditures						
Payment of principal on bonds and financing arrangements	\$0	\$3,985,434	\$4,175,000	\$4,370,000	\$4,580,000	\$4,795,000
Interest and other financing charges	\$2,808,125	\$2,495,262	\$2,327,424	\$2,397,247	\$2,469,164	\$2,543,239
Subsidies to other public authorities	\$0	\$0	\$0	\$0	\$0	\$0
Capital asset outlay	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Donations	\$0	\$0	\$0	\$0	\$0	\$0
Other Non-Operating Expenditures	\$3,524,853	\$0	\$0	\$0	\$0	\$0
Total Expenditures	\$46,804,775	\$47,633,807	\$51,693,743	\$53,314,305	\$54,992,634	\$56,720,014
Capital Contributions	\$0	\$0	\$0	\$0	\$0	\$0
Excess (deficiency) of revenues and capital contributions over expenditures	\$2,915,338	\$2,554,710	\$0	\$0	\$0	\$0

The authority's budget, as presented to the Board of Directors, is posted on the following website: www.rocklandrecycles.com

Additional Comments:

Budget passed by Board 10/22/15